# COMMISSIONS & FEES

## STOCK TRADING
- US Listed & OTC Securities: $0.00
- Preferred Shares: $0.00
- ETFs (US Listed): $0.00
- ADRs (US Listed): $0.00
- Assisted Trades (Telephone): $9.99/trade

## AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE (ACAT)
- Incoming ACAT: $0.00
- Outgoing ACAT: $85.00
- Foreign Security Transfer: $75.00

## ACCOUNT MAINTENANCE & CUSTODY
- Account Maintenance / Service Charge: $0.00
- Custody Charge: $0.00
- Electronic Statements: $0.00
- Electronic Confirmations: $0.00
- Paper Statements: $7.00 ea.
- Paper Trade Confirmations: $5.00 ea.
- Annual Maintenance Fee: $0.00

## REGULATORY TRADING FEES
- SEC Fee: $0.218 per $10,000 of sales proceeds
- TAF (Trading Activity Fee): $0.000119 per share (maximum of $5.95)
- Mailgrams / NYSE Extensions: $25.00
- News & Market Data: $0.00

## FUND TRANSFERS*
- Incoming Bank Transfer (ACH): $0.00
- Outgoing Bank Transfer (ACH): $0.00
- Incoming Domestic Wire Transfers: $0.00
- Incoming International Wire Transfers: $0.00
- Outgoing Domestic Wire Transfer: $35.00
- Outgoing International Wire Transfer: $50.00
- Returned ACH: $30.00

*FUND TRANSFERS* are subject to additional fees for certain types of transactions.
Margin Rates

Margin trading involves risk and may not be suitable to all investors. Review carefully the benefits and risks associated with margin investing. Folionet’s base rate was determined considering important factors such as interest rates, credit availability, industry liquidity, and other market conditions.

<table>
<thead>
<tr>
<th>Debit Balance</th>
<th>Margin Rate</th>
<th>Effective Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>$0 - $25,000</td>
<td>Base Rate + 2.25%</td>
<td>9.25%</td>
</tr>
<tr>
<td>$25,001 - $100,000</td>
<td>Base Rate + 2.00%</td>
<td>9.00%</td>
</tr>
<tr>
<td>$100,001 - $250,000</td>
<td>Base Rate + 1.75%</td>
<td>8.75%</td>
</tr>
<tr>
<td>$250,001 - $500,000</td>
<td>Base Rate + 1.50%</td>
<td>8.50%</td>
</tr>
<tr>
<td>$500,001 - $1,000,000</td>
<td>Base Rate + 1.25%</td>
<td>8.25%</td>
</tr>
<tr>
<td>$1,000,001 +</td>
<td>Base Rate + 1.05%</td>
<td>8.05%</td>
</tr>
</tbody>
</table>

Base Rate = 7.00%
Folionet’s base rate as of 09/20/2019 is 7% may change without notice.

IMPORTANT INFORMATION
Fees and commissions are subject to change without notice.
Fees incurred by custodial on non-standard transactions may be passed to client.
Additional wire transfer fees charged by any originating, intermediary, or recipient bank may apply. Both incoming and outgoing wire transfer reversals are subject to wire transfer reversal fees. Additional wire transfer reversal fees charged by any originating, intermediary, or recipient bank may apply. Outgoing transfers will only be sent to initial originator of funds. Trading must be conducted online via Folionet mobile device app (compatible smartphone or tablet) or assisted by telephone.
This is a summary of the commissions and fees schedule that may apply to a transaction or account. They are subject to change. Additional charges not mentioned on this summary schedule may apply. Please request a complete list of commissions and fees at support@folionet.com as the customer is responsible for all charges incurred.