



By Santos Capital Group

## COMMISSIONS & FEES

### STOCK TRADING

US Listed & OTC Securities	\$0.00
Preferred Shares	\$0.00
ETFs (US Listed)	\$0.00
ADRs (US Listed)	\$0.00
Assisted Trades (Telephone)	\$9.99/trade

### ACCOUNT MAINTENANCE & CUSTODY

Account Maintenance / Service Charge	\$0.00
Custody Charge	\$0.00
Electronic Statements	\$0.00
Electronic Confirmations	\$0.00
Paper Statements	\$7.00 ea.
Paper Trade Confirmations	\$5.00 ea.
Annual Maintenance Fee	\$0.00

### FUND TRANSFERS\*

Incoming Bank Transfer (ACH)	\$0.00
Outgoing Bank Transfer (ACH)	\$0.00
Incoming Domestic Wire Transfers	\$0.00
Incoming International Wire Transfers	\$0.00
Outgoing Domestic Wire Transfer	\$35.00
Outgoing International Wire Transfer	\$50.00
Returned ACH	\$30.00

### AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE (ACAT)

Incoming ACAT	\$0.00
Outgoing ACAT	\$85.00
Foreign Security Transfer	\$75.00

### REGULATORY TRADING FEES

SEC Fee	\$0.218 per \$10,000 of sales proceeds
TAF (Trading Activity Fee)	\$0.000119 per share (maximum of \$5.95)
Mailgrams / NYSE Extensions	\$25.00
News & Market Data	\$0.00

## Margin Rates

Margin trading involves risk and may not be suitable to all investors. Review carefully the benefits and risks associated with margin investing. Folionet's base rate was determined considering important factors such as interest rates, credit availability, industry liquidity, and other market conditions.

Margin Interest Rates		
Debit Balance	Margin Rate	Effective Rate
\$0 - \$25,000	Base Rate + 2.25%	9.25%
\$25,001 - \$100,000	Base Rate + 2.00%	9.00%
\$100,001 - \$250,000	Base Rate + 1.75%	8.75%
\$250,001 - \$500,000	Base Rate + 1.50%	8.50%
\$500,001 - \$1,000,000	Base Rate + 1.25%	8.25%
\$1,000,001 +	Base Rate + 1.05%	8.05%

**Base Rate = 7.00%**

Folionet's base rate as of 09/20/2019 is **7%** may change without notice.

### IMPORTANT INFORMATION

Fees and commissions are subject to change without notice.

Fees incurred by custodial on non-standard transactions may be passed to client.

Additional wire transfer fees charged by any originating, intermediary, or recipient bank may apply. Both incoming and outgoing wire transfer reversals are subject to wire transfer reversal fees. Additional wire transfer reversal fees charged by any originating, intermediary, or recipient bank may apply.

Outgoing transfers will only be sent to initial originator of funds. Trading must be conducted online via Folionet mobile device app (compatible smartphone or tablet) or assisted by telephone.

This is a summary of the commissions and fees schedule that may apply to a transaction or account. They are subject to change. Additional charges not mentioned on this summary schedule may apply. Please request a complete list of commissions and fees at [support@folionet.com](mailto:support@folionet.com) as the customer is responsible for all charges incurred.